The Association of Canadian Compliance Professionals **Annual Member Conference**

COMPLIANCE IN A NEW REGULATORY ERA

CONFERENCE AGENDA

FRIDAY, NOVEMBER 13, 2026

Welcome and Opening Remarks	**Master of Ceremonies** **Manny DaSilva,** CANFIN Wealth Management Join us as we open the ACCP Compliance Conference with a welcome from our Master of Ceremonies. This brief session will set the stage for the day ahead, highlight key industry themes and conference objectives, and provide an overview of the valuable learning and networking opportunities available to attendees.	10:00am - 10:05am
The Future of the Compliance Officer	**Keynote Speaker** **David Di Paolo,** National Managing Partner and CEO Borden Ladner Gervais As regulation, artificial intelligence, cyber risk, and stakeholder expectations continue to evolve, the role of the compliance officer is becoming increasingly strategic. This keynote will explore how compliance leaders can leverage technology, data, and business insight to navigate complexity, anticipate emerging risks, and foster a culture of integrity, accountability, and trust in an evolving regulatory environment. Join us as we examine the opportunities and challenges that lie ahead and reimagine the future of compliance leadership.	10:05am - 11:00am
BREAK 11:00 - 11:15am		
Regulatory Transformation	**Prema Thiele,** Partner, Borden Ladner Gervais **Linda Anderson,** V.P., PFSL Investments Canda Ltd. This fireside chat will examine Phase 6 of the proposed Harmonized Dealer Member Rules and what they mean for mutual fund dealers. Participants will hear practical perspectives on implementation challenges, supervisory considerations, and approaches to navigating an evolving regulatory environment.	11:15am to 12:00pm
LUNCH ***(complimentary/provided)*** *12:00 - 1:00pm*		

AI Technology and How it Impacts Us	Hélène Deschamps Marquis, Partner AI Practice Lead and National Co-Leader, Privacy and Cyber Security Borden Ladner Gervais Artificial intelligence is rapidly transforming the financial services industry, creating new opportunities while introducing emerging regulatory, operational, and compliance challenges. This session will explore how AI is being used across the dealer landscape, the risks and governance considerations compliance professionals should be evaluating, and practical strategies for managing AI adoption in a regulated environment.	1:00pm to 1:45pm
Enforcement & Supervision	**Maureen Doherty,** Partner Borden Ladner Gervais Effective enforcement and supervision are essential to maintaining investor protection and market integrity. This session will provide insight into current regulatory priorities, common compliance deficiencies, enforcement trends, and supervisory expectations. Attendees will gain practical guidance on strengthening compliance oversight and mitigating regulatory risk in an increasingly complex environment.	1:45pm - 2:30pm
BREAK 2:30 - 2:45pm		
"That's a Good Question"	**Facilitator:** **Linda Anderson,** Vice President, Field Management/Compliance PFSL Investments Canda Ltd. **Panelists:** **David Grad,** Executive Vice-President, General Counsel, Corporate Secretary, Chief Compliance Officer Chief AML Officer, Privacy Officer Primerica Financial Services (Canada) Ltd. **Serge Vaillancourt,** Manager Compliance & Advisor Relations GP Wealth Management Corporation **William Donegan,** Principal Consultant W Donegan Wealth Compliance In today's fast-changing regulatory environment, the most challenging compliance issues often begin with a simple question. This interactive panel brings together compliance leaders and industry experts to tackle the tough, unexpected, and thought-provoking questions that arise in practice. Attendees will gain practical insights, fresh perspectives, and actionable approaches to navigating complex compliance and governance challenges.	2:45pm – 3:55pm
CLOSING		
Closing Remarks	**Manny DaSilva, Master of Ceremonies** CANFIN Wealth Management	3:55pm - 4:00pm