

# STEP AHEAD OF REGULATORY RISK

**CONFERENCE AGENDA**  
FRIDAY, OCTOBER 24, 2025

|  |   |                   |
|--|---|-------------------|
| Welcome and Opening Remarks  | <b>Manny DaSilva</b> , Chair<br>Association of Canadian Compliance Professionals  | 10:00 - 10:15am   |
| "Client Complaints - New Rules, New Processes Lead to New Challenges Inside and Out" | <b>Susan Kushneryk</b> , Partner<br>Kushneryk Morgan LLP  | 10:15 - 11:00am   |
| BREAK 11:00 - 11:15am  |   |                   |
| "AI and How it Impacts Us"   | <b>Hélène Deschamps Marquis</b> , Partner AI Practice Lead and National Co-Leader , Privacy and Cyber Security<br>Borden Ladner Gervais (BLG)   | 11:15am - 12:00pm |
| LUNCH (complimentary/provided) 12:00 - 1:00pm  |   |                   |
| "That's a Good Question"   | <b>Facilitator:</b><br><b>Gary Legault, CPA</b> , Compliance Consultant<br>Legault Compliance Consulting<br><br><b>Panelists:</b><br><b>Maria Jose Flores Suarez</b> , President and Chief Compliance Officer, Carte Wealth Management Inc.<br><br><b>David Grad</b> , Executive Vice-President, General Counsel, Corporate Secretary, Chief Compliance Officer<br>Chief AML Officer, Privacy Officer, Primerica Financial Services (Canada) Ltd.<br><br><b>Som Houmphanh</b> , Chief Compliance Officer<br>Sterling Mutuals Inc. | 1:00 - 2:00pm     |
| "Power of Attorneys and Trusted Contact Person"                                      | <b>Maureen Doherty</b> , Partner<br>Borden Ladner Gervais (BLG)<br><br><b>Graham Splawski</b> , Partner<br>Borden Ladner Gervais (BLG)  | 2:00 - 2:45pm     |
| BREAK 2:45 - 3:00pm  |   |                   |
| "What's New at CIRO"   | <b>William Donegan</b> , Senior Compliance Consultant<br>Borden Ladner Gervais (BLG) Beyond AUM Law   | 3:00 - 4:00pm     |
| Closing Remarks  | <b>Manny DaSilva</b> , Chair<br>Association of Canadian Compliance Professionals  | 4:00pm            |