



# GENESIS BLOCK Investment Trust

## Genesis Block CCO – Job Posting

Genesis Block is seeking a BC, AB, QC or ON-based full-time Chief Compliance Officer to join our exciting new fund in the cryptocurrency space. We are offering a competitive salary and an equity position in the management company.

We are in the process of registering as an investment fund and exempt market dealer with the BCSC. The funds we raise will be invested into cryptocurrencies including Bitcoin, Ethereum, Litecoin and several others. The cryptocurrency space is rapidly evolving and has tremendous growth potential. We have a top notch team covering the areas of sales, securities law, cyber-security and crypto trading. Experience in the cryptocurrency space is preferred but not required.

The position will commence in approximately 6 months when we expect our application will be cleared by the BCSC. Our prospective CCO and their qualifications must be included in our application. For candidates that are currently employed, rest assured that your name will be kept confidential during the BCSC application and review process.

Qualified candidates must meet the qualification requirements for a CCO of an IFM and EMD as detailed in NI 31-103. The CCO is required to establish and maintain policies and procedures for assessing compliance by the IFM, and individuals acting on its behalf, with securities legislation; monitor and assess compliance by the IFM, and individuals acting on its behalf and with securities legislation;

The following are the qualification options for a CCO:

### Option 1:

(a) earned a CFA Charter or a professional designation as a lawyer, Chartered Accountant, Certified General Accountant or Certified Management Accountant in a jurisdiction of Canada, a notary in Quebec, or the equivalent in a foreign jurisdiction;

(b) passed the PDO Exam

[1] or the Chief Compliance Officers Qualifying Exam

[2] and, unless the individual has earned the CFA Charter, the Canadian Securities Course Exam; and

(c) either of the following apply:

(i) gained 36 months of relevant securities experience while working at an investment dealer, a registered adviser or an investment fund manager; or

(ii) provided professional services in the securities industry for 36 months and also worked at a registered dealer, a registered adviser or an investment fund manager for 12 months

Option 2:

(a) passed either the Canadian Investment Funds Course Exam<sup>[3]</sup>, the Canadian Securities Course Exam or the Investment Funds in Canada Exam<sup>[4]</sup>;

(b) passed either the PDO Exam or the Chief Compliance Officers Qualifying Exam; and

(c) gained 5 years of relevant securities experience while working at a registered dealer, registered adviser or an investment fund manager, including 36 months in a compliance capacity.

Option 3:

(a) has passed the Canadian Securities Course Exam and either the PDO Exam or the Chief Compliance Officers Qualifying Exam; and

(b) either has gained 5 years of relevant securities experience while working at a registered dealer or registered dealer, including 36 months in a compliance capacity or has worked for 5 years at a Canadian financial institution in a compliance capacity relating to portfolio management and also worked at a registered dealer or a registered adviser for 12 months.

Option 4:

(a) has passed either the PDO Exam or the Chief Compliance Officers Qualifying Exam;

(b) has earned a CFA Charter and has gained 12 months of relevant investment management experience in the 36-month period before applying for registration; and

(c) has received the Canadian Investment Manager designation and has gained 48 months of relevant investment management experience, 12 months of which was gained in the 36-month period before applying for registration.

[1] Officers', Partners' and Directors' Exam prepared and administered by the IFSE Institute or the Partners, Directors and Senior Officers Course Exam prepared and administered by CSI Global Education Inc.

[2] The Chief Compliance Officers Qualifying exam prepared and administered by CSI Global Education Inc.

[3] The Canadian Investment Funds Course prepared and administered by the IFSE Institute.

[4] The Investment Funds in Canada exam prepared and administered by CSI Global Education Inc.

To apply, please forward your CV and covering letter to [winston@genesisblockinvestments.com](mailto:winston@genesisblockinvestments.com)